



Notice of FINRA's Public Disclosure Program

The FINRA Public Disclosure Program (PDP) allows investors to learn about the professional background, business practices, and conduct of FINRA member firms and/or their brokers. Records of securities professionals currently associated with a member and persons who have been associated with a member within the last two years are available to the public through the PDP. Information is also available for FINRA-registered securities firms. This information is free to private investors for personal use. The telephone number of the PDP is (800) 289-9999. The Internet website for FINRA is <http://www.finra.org>. An investor brochure describing the PDP is also available upon request.